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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Childress et al.**

§ Group Art Unit: **2171**

Serial No.: **10/753,817**

§

§ Examiner: **Unknown**

Filed: **January 8, 2004**

§

§ Attorney Docket No.: **AUS920030939US1**

For: **Method and Apparatus for
Supporting Transactions**

§

Certificate of Mailing Under 37 C.F.R. § 1.8(a)
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By: Louise Fay
Louise Fay

TRANSMITTAL DOCUMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Copy of International Search Report;
- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AD-AE; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

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DOCKET NUMBER AUS920030939US1
APPLICATION NUMBER 10/753,817



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Childress et al.

Serial No: **10/753,817**

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Title: **Method and Apparatus for
Supporting Transactions**

Group Art Unit: **2171**

Intellectual Property
Law Department
International Business
Machines Corporation
11400 Burnet Road
Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.


DOCKET NUMBER AUS920030939U\$1

APPLICATION NUMBER 10/753,817

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

Each item of information cited in this Supplemental Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement. No fee is believed to be required. In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,



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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Complete if Known	
	Application Number	10/753,817
	Filing Date	Jan. 8, 2004
	First Named Inventor	Childress et al.
	Examiner Name	Unknown
	Art Unit	2171
Attorney Docket No.		AUS920030939US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	US2003/0005092 A1	Jan. 2, 2003	Nelson et al.	
AB	US 5,835,720	Nov. 10, 1998	Nelson et al.	
AC	US 6,473,802 B2	Oct. 29, 2002	Masters	

FOREIGN PATENT DOCUMENTS				
Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AD	WO 00/05594	Feb. 3, 2000	Conduct Ltd.	
AE	EP 0918412 A2	May 26, 1999	Hewlett-Packard Company	

NON PATENT LITERATURE	
Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.